



WEALTHEQ

PRIVATE WEALTH MANAGEMENT

PRIVACY POLICY

January 6, 2026

WealthEQ LLC

CRD# 324498

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WealthEQ, LLC is registered as an investment adviser with the State of Colorado. Registration with the SEC or any state securities authority does not imply a certain level of skill or training.

Additional information about WealthEQ is also available on the SEC's website at www.adviserinfo.sec.gov.

WealthEQ, LLC recognizes that our relationships with current and prospective clients are based on trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We are committed to securing the confidentiality and integrity of your personal information. WealthEQ will not disclose your personal information to anyone unless it is required by law or at your direction and will not sell your personal information.

We are proud of our privacy practices and want our current and prospective clients to understand why, what, and how information is collected; how we use it; and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Know who you are and thereby prevent unauthorized access to your information;
- Design, implement, and improve the services we provide you; and
- Comply with the laws and regulations that govern us.

In providing financial services to you, we collect certain non-public personal information about you. Our policy is to keep this information strictly safeguarded and to use or disclose it only as needed to provide services to you, or as permitted by law. Protecting your privacy is important to us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information about your identity, such as your name, address, social security number, date of birth, and financial information
- Information that we generate to service your financial needs (e.g., transaction data)
- Information that we may receive from third parties with respect to your financial profile

How We Obtain Your Information

We collect nonpublic personal information about clients such as you from the following sources:

- From you during our initial meeting or subsequent consultations
- From you via applications or other forms you complete
- From your transactions with us or others as related to services we provide to you
- From your other advisors, when authorized by you to share that information

What Information We Disclose

We do not disclose any nonpublic personal information about our clients or former clients to anyone, except as permitted by law. Moreover, we will not release information about our clients or former clients unless at least one of the following conditions is met:

- We receive your prior written consent.
- We believe the recipient to be you or your authorized representative.
- We are required by law to release information to the recipient.

We use information about you and your account only to help us better serve your financial needs or to suggest services or educational materials that may be of interest to you. Arrangements with companies or independent contractors not affiliated with WealthEQ will be subject to confidentiality agreements.

Exceptions

We may be required to disclose client confidential information in the following circumstances:

- To resolve client disputes or inquiries;
- To persons holding a legal or beneficial interest relating to the client;
- To provide information to agencies assessing our compliance with industry standards;
- To respond to a regulator's examination of WealthEQ, or
- To comply with civil, criminal, or regulatory investigations by federal, state, or local authorities.

How We Safeguard Your Personal Information

Privacy has always been important to WealthEQ. We maintain physical, electronic, and procedural safeguards that are reasonably designed to protect the security and confidentiality of your personal account information. These include confidentiality agreements with individuals and companies we may hire to help us provide services to you, password-protected user access to our computer files, and strict confidentiality policies that apply to all WealthEQ personnel and partners.

We require nonaffiliated organizations to conform to our privacy standards and ensure they are obligated contractually to keep confidential the information provided and to use such information only as requested.

Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after your account is closed or becomes inactive. We will continue to conduct our business in a manner that conforms with our pledge to you, your expectations, and all applicable law.